

## Muhammad Shahid Farid ACSI, CAMS, CFE



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### CAREER PROFILE & OBJECTIVE

An experienced and qualified individual with excellent knowledge in Regulatory Compliance, AML/CFT, International Sanctions (OFAC/ UN/ EU), Internal Audit, and Operational Control. I'm motivated to work for a high profile employer which I may substantially contribute in as a regulatory compliance Monitoring and Enforcement Specialist.

### PROFESSIONAL QUALIFICATIONS

■ ACFE – Certified Fraud Examiner	ACFE-USA	2015-2016
■ CISI- Investment Operation Certificate (DIFC Dubai)	CISI-UK	201-2014
■ CISI- Investment Operation Certificate (QFC Qatar)	CISI-UK	2014-2015
■ ACAMS-Certified Anti-Money Laundering Specialist	ACAMS-USA	2013-2014
■ CISI-Combating Financial Crime	CISI-UK	2012-2013
■ CISI-Global Financial Compliance	CISI-UK	2012-2013
■ CISI-Wealth Management	CISI-UK	2011-2012

### ACADAMIC BACKGROUND

■ MSc -Applied Psychology	Bahauddin Zakariya University Multan, Pakistan	2005-2008
■ MBA-Marketing	Bahria University Islamabad, Pakistan	2004-2005
■ BBA(Hons)-Marketing	Bahria University Islamabad, Pakistan	2001-2004

### PROFESSIONAL EXPERIENCE

#### QFC Authority

#### Sept 2016 – Till Date

#### **Monitoring and Enforcement Officer**

- Administration of risk rating matrix
- Administration of Watchlist
- Responsible for post licensing matters
- Enhance due diligence
- Investigations
- Enforcement Actions
- Thematic Reviews
- Dialogues with the Firms for regulatory matters.



QATAR FINANCIAL CENTRE

## **Lifecare International (Qatar) LLC**

**Jun 2014 – Aug 2016**



### **Group Compliance Manager and MLRO/ QFCRA Ref No: 01397**

I have worked as a Compliance Manager & MLRO for a rapidly growing insurance brokers M/s Lifecare International Qatar LLC (QFCRA Ref No. 00162). My key role was to implement, maintain and monitor compliance programs, policies and procedures that promote corporate/compliance culture and fosters ethical and compliance behaviors which provide the basis for good conduct in accordance with CRO/QFCA/QFCRA rules and regulations; thus assisted senior management in risk management matters. Summary of major responsibilities are as follows:

- Develop and maintain compliance monitoring program
- Identify compliance vulnerability areas and take corrective measures.
- Ensure that firm complies with the QFCRA's regulatory framework on day to day basis
- Ensure efficient/effective submission of the periodic reports and returns.
- Oversee firm's procedures on permitted activities, conflicts management, personal account transactions, communications and marketing, client acceptance, data protection and complaints handling process.
- Designing and implementing policies, procedures, systems and controls which include compliance manual, Compliance Monitoring Plan for the firm.
- Establish, maintain Anti Money Laundering policies, procedures, systems and controls and monitor the same on day to day basis.
- Central Point of contact for internal/ external compliance / AML related inquiries.
- Compliance/ AML/ International Sanctions related advisory services to the Board and senior management.
- Act as the point of contact to receive internal suspicious transactions reports from employees and take

## **Mashreq Bank**

**Apr 2011 – Jun 2014**



### **Compliance Officer-Anti Money Laundering & Sanctions Unit (AMLSU)**

- To maintain ongoing awareness/knowledge of all applicable domestic and international requirements related to Sanctions/Money Laundering/Threat Financing. Provide interpretation to the business units on the applicable policies and regulatory mandates.
- To maintain internal database of Blacklists used for filtering payments.
- To clear payments referrals by the remittance department after screening through various lists (OFAC, UN, EU, Bank of England, etc).
- To conduct special investigations and respond to queries that are sought by the Financial Intelligence Unit(s)/ law enforcement agencies/ Other Financial Institutions.
- To raise Suspicious Transaction Reports on bank customers having suspicious activities and transactions.
- To handle referrals from branches, fraud & investigation teams in relation to AML/CFT.
- Evaluate, train and motivate staff to enhance their skills and knowledge of AML/CFT, international financial sanctions and other regulatory matters.

**LANGUAGES' PROFICIENCY**

<b>LANGUAGES</b>	<b>SPEAKING</b>	<b>WRITING</b>	<b>LISTENING</b>	<b>READING</b>
English	Excellent	Excellent	Excellent	Excellent
Arabic	Good	Good	Good	Good
Urdu	Excellent	Excellent	Excellent	Excellent
Punjabi	Excellent	Excellent	Excellent	Excellent

**PERSONAL INFORMATION**

Date of Birth	:	01-Jun-1980
Nationality	:	Pakistani
Passport Number	:	AE8975443
Marital Status	:	Married
Visa Status	:	Employment

**REFERENCE**

Professional, Academic and Personal references could be furnished upon request.